

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

P-0105 S

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/856393

INTERNATIONAL APPLICATION NO.
PCT/JP99/05257INTERNATIONAL FILING DATE
September 27, 1999PRIORITY DATE CLAIMED
September 27, 1999

TITLE OF INVENTION

PRONUNCIATION JUDGMENT SYSTEM

APPLICANT(S) FOR DO/EO/US

Akitoshi KOJIMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. <u>09/856393</u> INTERNATIONAL APPLICATION NO. PCT/JP99/05257		ATTORNEY'S DOCKET NUMBER P-0105 S	
<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>		CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 860.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	12 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$270.00	
TOTAL OF ABOVE CALCULATIONS =		\$ 860.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ ----	
SUBTOTAL =		\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ ----	
TOTAL NATIONAL FEE =		\$ 860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 40.00	
TOTAL FEES ENCLOSED =		\$ 900.00	
		Amount to be refunded:	\$
		charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>900.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>10-0100</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between;"><div style="width: 45%;">SEND ALL CORRESPONDENCE TO: LACKENBACH SIEGEL One Chase Road Scarsdale, NY 10583 U.S.A. 914 723 4300</div><div style="width: 45%; text-align: right;"><div style="text-align: center;"> SIGNATURE</div><div style="text-align: center;">Myron Greenspan NAME</div><div style="text-align: center;"><u>25,680</u> REGISTRATION NUMBER</div><div style="text-align: center;">Date: _____</div></div></div>			

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, D.C. 20231

Sir: TRANSMITTED HERewith FOR FILING IS A
PCT PATENT APPLICATION (NATIONAL PHASE,
PCT/JP99/05257) IN THE U.S. FOR:

Inventor(s): Akitoshi KOJIMA
Title: PRONUNCIATION JUDGMENT SYSTEM
Attorney Docket No.: P-0105 S

Enclosed are:

- ☒ Transmittal Letter to the U.S.
☒ Patent application (specification, claims and Abstract)
☒ 3 sheet of drawings
X FORMAL INFORMAL
☒ An Assignment of the Invention with recordation cover sheet
☒ A Declaration and Power of Attorney
☐ Priority document: ☐ Enclosed ☐ Not enclosed.
☒ Information Disclosure Statement and Citation with 5 prior art references as well as copy of PCT Int'l
Search Report in parent case.
☒ OTHER:

copy of first page of PCT Publication for parent case, WO 01/24139 A1.

The filing fee has been calculated as shown on page 2 of the Transmittal Form.

FOR	NO. FILED	NO. EXTRA
Basic Fee		
Total Claims		
Indep Claims		
<input type="checkbox"/> Multiple Dependent Claim Presented		

SMALL ENTITY	
RATE	FEE
TOTAL	

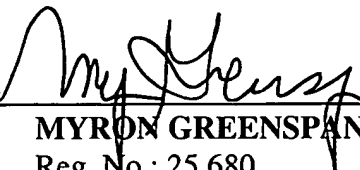
or
or
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or

LARGE ENTITY	
RATE	FEE
	\$
	\$
	\$
	\$
	\$
TOTAL	\$

- ☐ Please charge my Deposit Account No. 10-0100 in the amount of \$.
A duplicate copy of this sheet is enclosed.
☒ A check is enclosed for the total filing fee of \$900.00. This check includes the \$40.00 assignment recording fee.
☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication and during the pendency of this application, such as an extension or issue fee if mistakenly not paid or insufficient, and/or any other fee due but not paid by check, etc., or credit any overpayment to Deposit Account No. 10-0100. A duplicate copy of this sheet is enclosed.

LACKENBACH SIEGEL

Dated: May 22, 2001
One Chase Road
Scarsdale, NY 10583
(914) 723-4300

BY: 
MYRON GREENSPAN
Reg. No.: 25,680

Enclosed for filing under 35 USC 111 or X 35 USC 371

Certificate of Mailing By "Express Mail"
Express Mail Tracking No. EL133 330 081 US
Date of Deposit: May 22, 2001

I hereby certify that this paper/fee is being deposited with the U.S. Postal Service as Express Mail Post to Addressee under 37 CFR § 1.10 on the date here indicated and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

Print Name: MYRON GREENSPAN

Signature: 